

Application	Board only
Purpose	Describes the responsibilities of Directors and the organisation's expectations in respect of their conduct in carrying out their position as a Director.
References	N/A
Associated policies/procedures	BPM-002 Board Work plan/meetings, Committees and Office Bearers' Policy BPM-007 Managing Conflict of Interest Policy BPM-003 Board/CEO Relationship Policy
Associated forms	BPM-F002 Code of Conduct Declaration Form
Thorne Harbour Health	The company VAC/GMHC Ltd and its subsidiary Thorne Harbour Health Ltd operate under the trading name of Thorne Harbour Health. Each company is registered with the Australian Charities and Not-for-profits Commission.

1. Code of Conduct

A Director must:

Act with honesty and integrity. Be open and transparent in your dealings; use power responsibly; do not place yourself in a position of conflict of interest; strive to earn and sustain public trust of a high level.

Act in good faith in the best interests of Thorne Harbour Health. Demonstrate accountability for your actions; accept responsibility for your decisions; do not engage in activities that may bring you or Thorne Harbour Health into disrepute. Demonstrate good faith through supporting an agreed position from the united voice of the Board outside meetings.

Have respect for due debate. Be open to debate within the Board and demonstrate respect for other opinions.

Act fairly and impartially. Avoid bias, discrimination, caprice or self-interest; demonstrate respect for others by acting in a professional and courteous manner.

Use information appropriately. Ensure information gained as a Director is only applied to proper purposes and is kept confidential.

Use your position appropriately. Do not use your position as a Director to seek an undue advantage for yourself, family members or associates, or to cause detriment to the public entity; ensure that you decline gifts or favours that may cast doubt on your ability to apply independent judgement as a Director.

Honesty is concerned with being open and transparent

- Express genuine views clearly and without ambiguity
- Respect laws, policies and generally accepted standards of behaviour

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- Explain reasons for views, including disclosing what information or considerations were relied on
- Be truthful
- Speak up when a decision or advice is being considered that may be detrimental to the interests of Thorne Harbour Health
- Identify and declare any conflicts of interest (see BPM-007 Managing Conflicts of Interest policy)
- Acknowledge gaps in knowledge and expertise impacting on contributions to decision making
- Consent to, and provide, all necessary documents required to enable Thorne Harbour Health to determine eligibility to be, and remain, a Director (see By-Law 01/2017).

Integrity is concerned with motive, and being consistent with espoused values

- Avoid actual and perceived conflicts of interest
- Declare any outside interests annually (Declaration of Private Interests) when they arise (e.g., new appointments; new commercial interests) prior to consideration of particular matters
- Withdraw from Board deliberations where a conflict of interest exists, or could be perceived to exist
- Immediately notify the Board and withdraw from deliberations where a previously unidentified conflict of interest arises or becomes known to you, in relation to current or previous Board business
- Do not be rushed into making decisions without time for proper consideration and with all necessary information to make a decision
- Ensure that the minutes of the meeting record actions to declare conflicts.

Good faith is concerned with being cooperative and reasonable. In the **best interests** of Thorne Harbour Health good faith is about proper purpose and reputation

- Commit to being an active member of the Board before accepting a role on that Board
- Be professional and constructive in all dealings with fellow Directors
- Exercise powers responsibly and in the best interests of the organisation
- Be aware that gifts and favours of any kind, whether for Directors or for members of their families, may influence – or be perceived to influence – decision making
- Be mindful that your conduct in all aspects of your life can reflect on your standing as a Director and the reputation of the organisation
- Be cautious of comments made on social media and how these may be perceived by members of the wider community in relation to your role on the Board.

Fairness and impartiality is concerned with being objective and acting with balance and justice

- Advise and behave in a manner that is free of favouritism, self-interest and preference
- Consider all relevant facts objectively to ensure equitable outcomes
- Act in accordance with anti-discrimination and equal opportunity legislation
- Respect human dignity and foster a culture that is free of intimidation and bullying.

Using information appropriately is concerned with respecting confidentiality and using discretion, prudence and good judgement

- Only use information gained as a Director for purposes intended by the Board and for purposes that are in the interests of the organisation as judged by the Board
- Be conscious that Directors hold privileged/confidential information and that strict rules of confidentiality apply to that information

- Be well informed about State and Federal privacy and protected disclosure laws
- Never communicate official information for other than official purposes without the Board's permission. This includes leaking information to the media, clients of the organisation or to stakeholders.
- Confidentiality in the context of Thorne Harbour Health also recognises the importance of the rights to privacy and confidentiality for all people in relation to HIV status and/or sexual orientation.
- Be aware that your duty of confidentiality, unlike other fiduciary duties, continues after you cease being a Director.

Using your position appropriately is concerned with not abusing/misusing the influence or access to information that your role provides

- Do not misuse or abuse those powers for personal gain or some other motive
- Do not take decisions with any intention of malice
- Do not join the Board as a favour to a friend or colleague, or where you consider that the impartiality of your decisions may be compromised or would reasonably be perceived to be compromised
- Never seek to gain undue benefit for any party from information gained as a Director.

2. Responsibilities of the Board and Directors

2.1. The Board has a responsibility to ensure the organisation is positioned to achieve its purpose (mission) into the future, regardless of the elected term of office of Directors. In this sense the Board as a whole and each Director assumes a stewardship role and this role is best achieved by each member of the Board working constructively as part of a 'team' in partnership with the organisation's executive leadership, the CEO.

2.2. As a Director you have a duty to:

- Act in a **financially responsible** manner
- Exercise **due care, diligence and skill**
- **Comply with the Constitutions and in particular the Principal Purpose of VAC/GMHC Ltd and Thorne Harbour Health Ltd**
- Demonstrate **leadership and stewardship**.

Financial responsibility is concerned with being accountable, vigilant, prudent and acting legally

- Understand Thorne Harbour Health's financial accounts and financial position
- Ensure that the Thorne Harbour Health financial management, and all integrated systems are fit for purpose. Ensure business processes are reviewed and adjusted to take best advantage of the systems available.
- Ensure that there are clear, consistent financial reports from the Treasurer and take an active interest in the work of the Finance Risk and Audit Committee
- Ensure financial reports to the Board that are clear and concise, using graphs and techniques to assist members to understand reports where appropriate
- Ensure there is clear trend analysis and benchmarking data to assist the Board to constantly reassess the financial health of Thorne Harbour Health
- Do not support a Board resolution that has financial implications that you consider imprudent

- Record your disagreement, or abstain from voting, if you do not believe financial implications are sufficiently clear
- Raise questions if uncertain about any aspects of financial reports.

Due care, diligence and skill is concerned with being prudent, having commitment, and acting responsibly and professionally

- Ensure you have the skills needed to be a Director by undertaking governance training as needed, and keep that training up-to-date by undertaking refresher training or more advanced governance training from time to time
- Get to know the business of Thorne Harbour Health and the way the Board operates
- Read Board papers and be prepared to ask questions about matters before the Board
- Attend Board meetings and be focused on the work under consideration
- Consider issues completely, taking account of all relevant evidence
- Understand the strengths, weaknesses, opportunities and threats facing Thorne Harbour Health
- Do not simply 'rubber stamp' recommendations put by a committee or individual
- As part of professional orientation for Directors, participate in management familiarisation briefings and tours
- Ensure full compliance with the legal, ethical and policy obligations of Thorne Harbour Health
- Ensure you can guide and monitor management of Thorne Harbour Health. To do this:
 - monitor the affairs and practices of Thorne Harbour Health
 - review the Thorne Harbour Health financial statements and reports regularly
 - demand that Board papers are prepared thoroughly and sent well in advance of Board meetings
 - where appropriate, demand evidence-based documentation and seek further information if necessary to ensure that the Board's decisions are based on the best available information
- Take an interest in the qualifications and experience of candidates for senior executive jobs in the organisation such as the Chief Executive Officer and the Senior Management Team

Complying with the Constitutions is concerned with having the power and authority to act and with having respect for boundaries

- Carry out duties in good faith and for a proper purpose as described in Thorne Harbour Health's Constitutions
- Ensure you have ready access to up-to-date copies of the Constitutions
- Ensure Board papers refer to relevant sections in the Constitutions, and any relevant government policies and inform Directors of the powers under which they are acting
- Understand whether a decision is within Thorne Harbour Health's power and ask questions if you do not understand
- Confirm that necessary delegations are in place, and that matters reserved for the Board are clearly identified
- Be aware of the Government's policies and priorities and of any directions from a Minister that affect Thorne Harbour Health's interests

Leadership and stewardship are concerned with having foresight, providing an example and achieving sustainability

- Understand the importance that strategic direction plays in ensuring that Thorne Harbour Health fulfils its purpose for members, government, clients and other stakeholders

- Be conscious of, value, develop and protect the assets that belong to Thorne Harbour Health
- Assist in developing and promulgating the organisational culture the Board wishes for Thorne Harbour Health
- Behave in a way that exemplifies the community sector values
- Ensure the Board schedules time to focus on strategic matters and reflection and for proper consideration of programmable work
- Watch for signs that the Board or Thorne Harbour Health is not performing well. These might include
 - getting bad news late
 - a poor accountability culture
 - Board decisions not implemented quickly
 - decisions made without Board approval
 - officers lacking delegated powers
 - an uncooperative, evasive or aggressive CEO or Senior Management Team
 - inadequate auditing of past mistakes
 - inadequate or ineffective control systems.

2.3. Specific responsibilities of the Board (and consequently of each Director) in governing the organisation are to:

- support mission achievement by:
 - participating in developing appropriate and effective strategic plans to guide the mission of the organisation
 - ensuring the financial and other resources necessary for achievement of those plans are available to the organisation
 - determining and publicly expressing views on matters effecting the organisation, its mission and its constituents
 - engaging with constituents to ensure relevance and a strong supporter base
 - ensuring an organisation culture that is aligned with the organisation's mission and values
- monitor and ensure effective risk, quality and compliance management including:
 - compliance with all legal and contractual requirements
 - identification and mitigation of strategic and operational risk
 - continued quality assurance for programs and services directed to best client and community outcomes
- promote accountability and enhance performance by:
 - managing the Board's own performance and business processes including delegations to committees, and developing and reviewing governance policy
 - selecting, supporting, delegating and holding the CEO to account
 - engaging in transparent succession planning activities and ensuring effective orientation of new members of the Board
 - participating in performance development activities relevant to the governance role.

2.4. Responsibilities of the CEO in respect to the Board are articulated in the BPM-003 Board and CEO relationship policy.

3. Responsibilities of the organisation

- 3.1. Thorne Harbour Health will determine, as part of its budgeting process, an annual allocation for governance/Board performance improvement. Based on Board performance review and planning activities, the Board will agree an appropriate governance development program. It is expected that all Directors will be supported and encouraged to participate in relevant development initiatives including training.
- 3.2. The organisation will ensure it has an appropriate level of insurance for Directors and officers and make available a copy of the insurance policy to candidates for election to the Board prior to the election.

4. Statement of limitations

- 4.1. Directors other than the President may not represent the organisation without a specific delegation from the Board either directly or through the President in accordance with relevant governance policy. This includes all statements to the press and to staff members.
- 4.2. In the interests of probity and to ensure no implicit or explicit conflicts of interest are created, gifts of either goods or services of substance should be neither accepted nor offered by any Director. However, it is recognised that tokens of appreciation may be offered to Directors performing public roles which, if refused, could cause embarrassment. In this circumstance, the token of appreciation may be accepted but it must be declared through the Finance, Risk and Audit Committee within one month of receipt of the gift.
- 4.3. All Directors are expected to use the organisation's assets, such as equipment, furniture, buildings, materials and supplies, money, services and intellectual property only insofar as is related to implementation of Director role-related responsibilities. The misuse of Thorne Harbour Health's assets by the taking, using for personal benefit, giving to others (or assisting others in such activity) of the organisation's property is prohibited.
- 4.4. Directors are not remunerated. However, upon receipts, Board members may be reimbursed for reasonable travel and other out of pocket expenses incurred when undertaking Board duties as requested and approved by the Board.